

af 2762

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Joseph P. Bigus et al.

Serial No.: 09/431,833

Date: February 14, 2000

Filed: November 2, 1999

Group No.: 2762

For: INTELLIGENT AGENT WITH  
NEGOTIATION CAPABILITY AND  
METHOD OF NEGOTIATION  
THEREWITH

Examiner: Unassigned

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GROUP 2700

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. 1.97

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Applicants request that the information listed on the attached Form PTO-1449 be considered by the Office during the pendency of the above entitled application, pursuant to 37 C.F.R. 1.97. In accordance with 37 C.F.R. 1.97(h), the filing of this Information Disclosure Statement shall not constitute an admission that any information cited therein is, or is considered to be, material to patentability as defined in 37 C.F.R. 1.56(b). In the interest of full and complete disclosure to the Office, some or all of the art cited herein may not be considered by Applicant(s) or the Undersigned to be material under the new standard of materiality defined in 37 C.F.R. 1.56(b), enacted March 16, 1992, but may be material under the old standard of materiality defined in 37 C.F.R. 1.56(a), last amended on November 28, 1988, or may merely be technical background which may be of interest to the Examiner. In accordance with 37 C.F.R. 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

Docket No.: RO996-054LB  
Serial No.: 09/431,833


The references listed herein were cited in a search report by a foreign patent office, a copy of which is attached hereto. If any references listed herein are in a language other than English, said foreign search report is submitted in satisfaction of the requirements of 37 C.F.R. 1.98(a)(3). Unless a translation accompanies this Statement, no written English-language translation of any non-English language document, or portion thereof, listed herein, is within the possession custody or control of, or is readily available to any individual designated in 37 C.F.R. 1.56(c).

This Information Disclosure Statement is being filed under 37 C.F.R. 1.97(c) before a final action or notice of allowance. No filing fee is required because each item of information contained herein was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement (certification provided below).



Respectfully submitted,

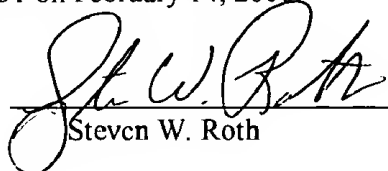
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By  **GROUP 2700**  
FEB 18 2000  
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Attorney Reg. No.: 34,712  
IBM Corporation - Department 917  
3605 Highway 52 North  
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CERTIFICATE OF MAILING

I hereby certify that this paper is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on February 14, 2000.

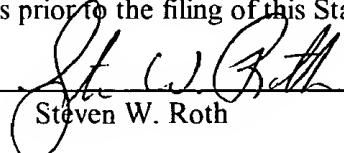
  
Steven W. Roth

February 14, 2000  
Date

Docket No.: RO996-054LB  
Serial No.: 09/431,833

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CERTIFICATION

I hereby certify that each item of information contained herein was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement.

  
\_\_\_\_\_  
Steven W. Roth

February 14, 2000  
Date



PRIVILEGE REVIEW REQUIRED

Docket No: RO996055  
25 January 2000

Please verify actual filing countries before completing this form.

Send papers only as indicated below.

Citation of Prior Art/Office Action/Amendment

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\_\_\_\_ To Canada Attorney \_\_\_\_\_  
Name

\_\_\_\_ To Japan Attorney \_\_\_\_\_  
Name

\_\_\_\_ To EPC Attorney \_\_\_\_\_  
Name Location

for above docket having a counterpart application pending, attached is:

\_\_\_\_ Office Action received from US Patent Office within the past five working days with copies of references as appropriate.

\_\_\_\_ Amendment filed in US Patent Office within the past five working days.

\_\_\_\_ Notice of Allowance.

.....  
Name Location  
\_\_\_\_X\_\_\_\_ To US Attorney: S Roth Rochester  
From EPC Attorney: C Boyce United Kingdom

above docket having a counterpart application PENDING in US, attached with copies and translations/abstracts (as appropriate) is:

\_\_\_\_X\_\_\_\_ EPO Search Report and References

\_\_\_\_ EPO Office Action

\_\_\_\_ Amendment filed in EPO

.....  
Significant Change

\_\_\_\_ To EPC Location \_\_\_\_\_, US Location \_\_\_\_\_

PPM \_\_\_\_\_

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(All IPLaw locations having counterparts)

\_\_\_\_ Amendment - attached is a discussion of significant change made in language or composition of the specification and/or claims because of IBM use or interest, and/or Patent Office formality requirement and/or prior art. Copies and/or English translations of essential parts of any particularly pertinent prior art are attached.

\_\_\_\_ Abandonment - in view of reasons set forth in the attached discussion, we have decided to abandon prosecution of this application. Copies and/or English translations of essential parts of any particularly pertinent prior art are attached.

C Boyce  
Intellectual Property Law  
CB/car

cc: WPTS

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NR